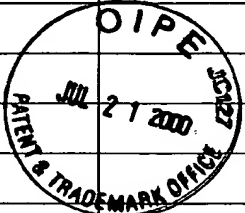
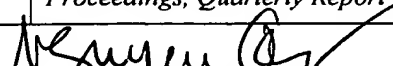
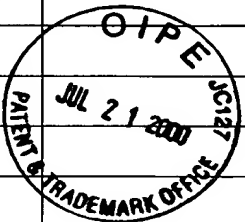
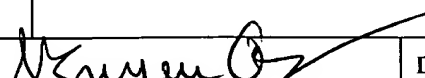


INFORMATION DISCLOSURE CITATION PTO-1449		ATTY. DOCKET NO. 22379-710		SERIAL NO. 09/523,853			
		APPLICANT Partovi et al.					
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QN	Busch, M. et al., "Optimal Telephone Line Allocation of Voice ADS System", <i>Proceedings of the 1993 Winter Simulation Conference</i> , December 12-15, 1993, pp. 1359-1360.						
	Hindus, D. et al., "Capturing, Structuring, and Representing Ubiquitous Audio", <i>ACM Transactions on Information Systems</i> , Vol. 11, No. 4, Oct. 1993, pp. 376-400.						
QN	Hundus, D. et al., "Ubiquitous Audio: Capturing Spontaneous Collaboration", <i>Proceedings of the Conference on Computer-Supported Cooperative Work</i> , November 1992, pp. 210-217.						
	Resnick, P., "HyperVoice - A Phone-Based CSCW Platform", <i>Proceedings of the Conference on Computer-Supported Cooperative Work</i> , November 1992, pp. 218-225.						
QN	Resnick, P., "Phone-Based CSCW: Tools and Trials", <i>ACM Transactions on Information Systems</i> , Vol. 11, No. 4, Oct. 1993, pp. 401-424.						
	Resnick, P. et al., "Skip and Scan: Cleaning Up Telephone Interfaces", <i>CHI '92 Conference Proceedings - ACM Conference on Human Factors in Computing Systems</i> , May 1992, pp. 419-426.						
	Schmandt, C., "Phoneshell: the Telephone as Computer Terminal", <i>Proceedings ACM Multimedia 93</i> , August 1993, pp. 373-382.						
	Bolt, R., "'Put-That-There': Voice and Gesture at the Graphics Interface", <i>SIGGRAPH '80 Conference Proceedings, Quarterly Report of SIGGRAPH-ACM</i> , July 1980, pp. 262-270.						
EXAMINER				DATE CONSIDERED		6/4/2002	

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
QN	Brown, M., "Surf and Speak Services", <i>PC Magazine</i> , March 21, 2000, p. 64.						
	Hemphill, C. et al., "Surfing the Web by Voice", <i>Proceedings of ACM Multimedia '95</i> , November 1995, pp. 215-222.						
QN	Rudnick, A. et al., "Spoken language recognition in an office management domain", <i>ICASSP '91, International Conference on Acoustics, Speech, And Signal Processing</i> , May 1991, pp. 829-832.						
	"Integrated Audio-Graphics User Interface", <i>IBM Technical Disclosure Bulletin</i> , April 1991, pp. 368-371.						
QN	"Voice Augmented Menu Automated Telephone Response System", <i>IBM Technical Disclosure Bulletin</i> , Feb. 1995, pp. 57-62.						
	"Speech Recognition - Kurzweil Brings Voice Dictation to Windows", <i>BYTE Magazine</i> , August 1994, p. 48.						
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U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
QN	5,208,745	5-4-93	Quentin et al.	364	188	9-90
	5,283,888	2-1-94	Dao et al.	395	500	7-91
	5,463,696	10-31-95	Beernink et al.	382	186	7-5-94
	5,465,378	11-7-95	Duensing et al.	395	800	10-12-93
	5,493,606	2-20-96	Osder et al.	379	67	5-31-94
	5,497,373	3-5-96	Hulen et al.	370	79	3-22-94
	5,600,765	2-4-97	Ando et al.	395	133	10-19-93
	5,632,002	5-20-97	Hashimoto et al.	395	2.4	12-28-93
	5,749,072	5-5-98	Mazurkiewicz et al.	704	275	12-28-95

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QN	WO 98/56154	10/12/98	PCT	H04M	1/64	✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
QN	Hemphill, Charles T., et al., "Surfing the Web by Voice", Proceedings - ACM Multimedia '95, San Francisco, CA, ACM 0-89791 (Nov. 1995), pp. 215-222.
	Hemphill, Charles T., et al., "Speech-Aware Multimedia", IEEE Multimedia, IEEE (1996), pp. 74-78.
QN	Lau, Raymond, et al., "WebGALAXY: beyond point and click -- a conversational interface to a browser", Computer Networks and ISDN Systems, Vol. 29 (1997), pp. 1385-1393.
QN	Zue, Victor W., "Navigating the Information Superhighway Using Spoken Language Interfaces", IEEE Expert, IEEE, October 1995, pp. 39-43.
QN	Seneff, Stephanie, et al., "GALAXY-II: A Reference Architecture For Conversational System Development", technical paper, Massachusetts Institute of Technology (1998), 4 pages.
QN	Meng, Helen, et al., "WHEELS: A Conversational System in the Automobile Classifieds Domain", Proceedings - Fourth Intl. Conf. on Spoken Language Processing (ICSLP '96), October 1996, pp. 542-545.
QN	Goddeau, David, et al., "A Form-Based Dialogue Manager For Spoken Language Applications", Proceedings - Fourth Intl. Conf. on Spoken Language Processing (ICSLP '96), October 1996, pp. 701-704.
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N. Nguyen	6/4/2002

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QV	5,758,322	5-26-98	Rongley	704	275	12-9-94	
QV	5,761,641	6-2-98	Rozak et al.	704	275	7-3-95	
QV	5,809,269	9-15-98	Favot et al.	395	376	2-1-97	
QV	5,819,220	10-6-98	Sarukkai et al.	704	243	9-30-96	
QV	5,873,064	2-16-99	De Armas et al.	704	275	11-8-98	
QV	5,875,429	2-23-99	Douglas	704	275	5-20-97	
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QV	5,893,063	4-6-99	Loats et al.	704	275	3-10-97	
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QV	5,918,213	6-29-99	Bernard et al.	705	26	12-22-95	
QV	5,920,841	7-6-99	Schottmuller et al.	704	275	6-25-97	
QV	5,926,789	7-20-99	Barbara et al.	704	275	12-19-96	
QV	5,945,989	8-31-99	Freishtat et al.	345	329	3-25-97	
QV	5,953,392	9-14-99	Rhie et al.	379	88.13	3-1-96	
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QV	Lieberman, Henry, "Attaching Interface Agent Software to Applications", unpublished draft, Media Laboratory, Massachusetts Institute of Technology, circa 1993, 8 pages.						
	Schmandt, Chris, "Phoneshell: the telephone as computer terminal", as printed in <i>Proceedings of the conference on Multimedia '93</i> , pp. 373-382 (1993).						
QV	"VoxML 1.0 Language Reference", Revision 1.0a, Motorola, Inc., October 1998, pp. 1-51.						
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QR	5,359,645	10-25-94	Katz	379	5-7-93
QR	5,799,063	8-25-98	Krane	379	8-13-96
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QR	"VoxML 1.0 Application Development Guide", Revision 1.0, Motorola, Inc., September 1998, pp. 1-31.				
	Motorola VoxML Team, "VoxML SDK 1.0a Interim Release Notes", Motorola, Inc., Dec. 1998, 5 pages.				
QR	VoiceXML Forum, "Voice Extensible Markup Language - VoiceXML", Version 0.9, Aug. 1999, 63 pages.				
	James, Frankie, "AHA: audio HTML access", <i>Computer Networks and ISDN Systems</i> , Vol. 29 (1997), pp. 1395-1404.				
QR	James, Frankie, "Lessons from Developing Audio HTML Interfaces", <i>Proceedings of The Third Intl. ACM Conf. on Assistive Technologies (ASSETS '98)</i> , ACM Press, April 1998, pp. 27-34.				
	Raman, T.V., "Cascaded speech style sheets", <i>Computer Networks and ISDN Systems</i> , Vol. 29 (1997), pp. 1377-1383.				
QR	Abrams, Marc, et al., "UIML: an appliance-independent XML user interface language", <i>Computer Networks</i> , Vol. 31 (1999), pp. 1695-1708.				
QR	VoiceXML Forum, "Voice eXtensible Markup Language (VoiceXML) - Technical Background", copyright AT&T (1999), printed from website "www.vxmlforum.org/tech_bkgrnd.html" August 17, 1999, 3 pages.				
QR	Cover, Robin, "The SGML/XML Web Page - Speech ML", Feb. 22, 1999, printed from website "www.oasis-open.org/cover/speechML.html" August 17, 1999, 2 pages.				
QR	Cover, Robin, "The SGML/XML Web Page - VoxML Markup Language", Mar. 2, 1999, printed from website "www.oasis-open.org/cover/speechML.html" August 17, 1999, 3 pages.				
QR	"Call Center Products", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/basic.asp?nodeid=665" August 24, 1999, 1 page.				
QR	"Financial Services Products", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/basic.asp?nodeid=105" August 24, 1999, 1 page.				
QR	"Technology Overview", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/basic.asp?nodeid=125" August 24, 1999, 2 pages.				
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Q N	"Customer List", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/cust list.asp?nodeid=145" August 24, 1999, 2 pages.				
	"Customer Case Studies", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/templates/cust case list.asp?nodeid=146" August 24, 1999, 5 pages.				
Q N	"Electronic Workforce Overview", Edify Corp., copyright 1994-1999, printed from website "www.edify.com/demos/elec work tour/*.html" August 24, 1999, 13 pages.				
	"pwWebSpeak: General Information" and "pwWebSpeak Overview", The Productivity Works, copyright 1996-1999, last updated May 20, 1998, printed from website "www.prodworks.com/*.htm" August 19, 1999, 5 pages.				
Q N	Raman, T.V., "Emacspeak - Direct Speech Access", <i>Proceedings of The Second Annual ACM Conference on Assistive Technologies (ASSETS '96)</i> , ACM, April 1996, pp. 32-36.				
	Krell, Mitchell, et al., "V-Lynx: Bringing the World Wide Web to Sight Impaired Users", <i>Proceedings of The Second Annual ACM Conference on Assistive Technologies (ASSETS '96)</i> , ACM, April 1996, pp. 23-26.				
Q N	"The AirFlash Search Engine", AirFlash, Inc., printed from website "www.airflash.com/afad works main.html" August 17, 1999, 1 page.				
Q N	Zajicek, Mary, et al., "A Web Navigation Tool for the Blind", <i>Proceedings of The Third Annual ACM Conference on Assistive Technologies (ASSETS '98)</i> , ACM, April 1998, pp. 204-206.				
Q N	Natural Language Speech Recognition systems from Nuance Communications, printed from website "www.nuance.com/*" August 17, 1999, 9 pages.				
Q N	"Nuance 6", product description, Nuance Communications, Menlo Park, CA, (1999), 2 pages.				
Q N	"Nuance Developer's Toolkit", Nuance Communications, Menlo Park, CA, (1998), 2 pages.				
Q N	V-Commerce™ product overview, introduction and demos, V-Commerce™, printed from website "www.V-Commerce.com/*" August 17, 1999, 11 pages.				
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QW	V-Commerce™ White Paper, Paper No: WP006-0998, V-Commerce™, Menlo Park, CA, Oct. 6, 1998, 8 pgs. "Nuance SpeechObjects and V-Commerce Applications", a Nuance white paper, Nuance Communications, Menlo Park, CA, (1999), 13 pages.
QW	Asakawa, Chieko, et al., "User Interface of a Home Page Reader", <i>Proceedings of The Third Annual ACM Conference on Assistive Technologies (ASSETS '98)</i> , ACM, April 1998, pp. 149-156. Bayer, Samuel, "Embedding Speech in Web Interfaces", <i>Proceedings of The Fourth Annual Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 1684-1687.
QW	Kondo, Kazuhiro, et al., "Surfin' The World Wide Web With Japanese", <i>1997 IEEE International Conference on Acoustics, Speech, and Signal Processing</i> , Vol. II of V, April 1997, pp. 1151-1154. Corrick, David, et al., "'ELTON' Takes Orders for New Zealand Wines & Spirits", <i>Speech Technology</i> , Sept./Oct. 1988, pp 87-89.
QW	Mynatt, Elizabeth D., et al., "Mapping GUIs to Auditory Interfaces", <i>proceedings of the Fifth Annual Symposium on User Interface Software and Technology (UIST)</i> , ACM, November 1992, pp. 61-70.
QV	Gamm, Stephan, et al., "Findings with the Design of a Command-Based Speech Interface for a Voice Mail System", <i>Proceedins of the Third IEEE Workshop on Interactive Voice Technology for Telecommunications Applications (IVTTA-96)</i> , IEEE Communications Society, September 1996, pp. 93-96.
QW	Markowitz, Judith A., "Using Speech Recognition", Prentice Hall PTR, (1996), 293 pages.
QW	Life, A., et al., "Data Collection for the MASK Kiosk: WOz vs Prototype System", <i>Proceedings of the Fourth International Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 1672-1675.
QW	Gauvain, J.L., et al., "Speech Recognition for an Information Kiosk", <i>Proceedings of the Fourth International Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 849-852..
QV	Mazor, Baruch, et al., "OASIS - A Speech Recognition System for Telephone Service Orders", <i>Proceedings of the 1994 International Conference on Spoken Language Processing (ICSLP 94)</i> , Sep. 1994, pp. 679-682.
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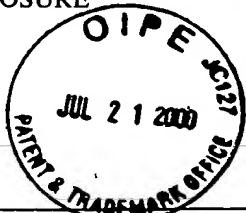
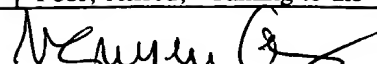


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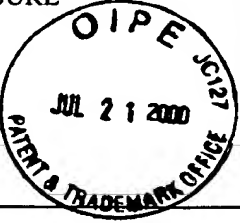
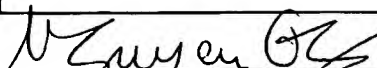
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EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
QO	<p>Oerder, Martin, et al., "A Realtime Prototype of an Automatic Inquiry Systems", <i>Proceedings of the 1994 International Conference on Spoken Language Processing (ICSLP 94)</i>, Sep. 1994, pp. 703-706.</p> <p>Jurafsky, Daniel, et al., "The Berkeley Restaurant Project", <i>Proceedings of the 1994 International Conference on Spoken Language Processing (ICSLP 94)</i>, Sep. 1994, pp. 2139-2142.</p>
QN	<p>Fugitt, Mitchell L., "A Voice Actuated Data Entry System for Analytical Data Collection", <i>Speech Technology</i> Oct./Nov. 1989, pp. 26-29.</p> <p>Chien, Lee-Feng, et al., "Internet Chinese Information Retrieval Using Unconstrained Mandarin Speech Queries Based on A Client-Server Architecture and A PAT-tree-based Language Model", <i>1997 IEEE Intl. Conference on Acoustics, Speech, and Signal Processing</i>, Vol. II of V, April 1997, pp. 1155-1158.</p>
QN	<p>Walker, Marilyn A., et al., "What Can I Say?: Evaluating a Spoken Language Interface to Email", <i>Human Factors in Computing Systems (CHI-98), Conference Proceedings</i>, ACM Press, April 1998, pp. 582-589.</p> <p>Schmandt, Chris, et al., "Augmenting a Window System with Speech Input", <i>IEEE</i>, August 1990, pp. 50-55.</p>
QY	Rudnick, Alexander I., et al., "SpeechWear: A mobile speech system", <i>Proceedings of the Fourth International Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 538-541.
QN	Mellor, B.A., et al., "Evaluating Automatic Speech Recognition as a Component of a Multi-Input Device: Human-Computer Interface", <i>Proceedings of the Fourth International Conference on Spoken Language Processing (ICSLP 96)</i> , October 1996, pp. 1668-1671.
QN	Katsuura, Makoto, et al., "The WWW Browser System with Spoken Keyword Recognition", <i>Transactions of Information Processing Society of Japan</i> , Vol. 40, No. 2, Feb. 1999, pp. 443-452.
QN	Asakawa, Chieko, et al., "User Interface of a Non-visual Web Access System", <i>Transactions of Information Processing Society of Japan</i> , Vol. 40, No. 2, Feb. 1999, pp. 453-459.
QN	Nakajima, Hideharu, et al., "Multimodal Interaction with WWW Browsers - An Interface Using Mouse Movement and Voiced Command", <i>Transactions of Information Processing Society of Japan</i> , Vol. 39, No.42, Apr. 1998, pp. 1127-1136.
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QN	Graziani, Paolo, et al., "Screen Reader for Windows Based on Speech Output", <i>Computers for Handicapped Persons, Proceedings of the 4th International Conference (ICCHP '94)</i> , Sept. 1994, pp. 96-100.					
	Boyd, F.W., Jr., "The Story of Tootie", <i>Proceedings - AVIOS '88, Voice I/O Systems Applications Conference</i> , October 1988, 4 pages.					
QN	Brooks, Rodney, et al., "Voice Controlled Telephone Banking", <i>Proceedings - AVIOS '88, Voice I/O Systems Applications Conference</i> , October 1988, 6 pages.					
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION		ATTY. DOCKET NO. 22379-710		SERIAL NO. 09/523,853	
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APPLICANT Partovi et al.					
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U.S. PATENT DOCUMENTS					
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	FILING DATE
FOREIGN PATENT DOCUMENTS					
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS TRANSLATION YES <input type="checkbox"/> NO <input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
Qn	"CNN - No clicking, no buttons: com...ers 'speech sites' - July 30, 1999", printed from website "www.cnn.com/TECH/ptech/9907/30/voicerec/" August 25, 1999, 4 pages.				
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Patent Application

Inventor(s): Partovi et al.

Serial No.: 09/523,853

Group Art Unit: 2768

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Examiner:

Title: METHOD AND APPARATUS FOR CONTENT PERSONALIZATION OVER A
TELEPHONE INTERFACE WITH ADAPTIVE VOICE CHARACTER**RECEIVED**

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Form 1449**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
GR	A	6,067,348	05/23/00	Hibbeler	379	88.16	08/04/98
GR	B	5,915,001	02/22/99	Uppaluru	379	88.22	11/14/96
	C						
	D						
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	H						
	I						
	J						
	K						

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
GR	L	WO 98/56154	12/10/98	PCT	H04M	1/64	X	
	M							
	N							
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	Q							

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